



Attorney Docket No. 1929.EEM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Marufur Rahim et al.

Serial number: 09/888,306

Filing Date: 06/22/2001

Group Art unit: 1746

Title: CATHODE COATING DISPERSION

Examiner: WILLS, Monique M.

SUPPLEMENTAL PARAGRAPHS TO USPTO 1449  
INFORMATION DISCLOSURE STATEMENT

To Commissioner of Patents:

Sir: Applicant requests that the information disclosed on the accompanying PTO Form 1449 be considered by the Patent Office for the reasons checked here:

- It is being filed pursuant to 37 CFR § 1.97(b)(1) within three months of the filing date. No fee is required.
- It is being filed pursuant to 37 CFR § 1.97(b)(3) or (4) before the mailing date of a first office action on the merits. No fee is required.
- It is being filed pursuant to 37 CFR § 1.97(c)(1), before the mailing date of a final action or before a notice of allowance accompanied with the below statement. No fee is required.

**The information contained in the Information Disclosure Statement PTO form 1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.**

- In the event that a first Office Action has already issued and is crossing in the mail with this information, it is being filed pursuant to 37 CFR § 1.97(c)(2), before the mailing date of a final action or before a notice of allowance and is accompanied with the fee set forth in §1.17(p). The Office is hereby authorized to charge the fee to Deposit Account No.14-0455.
- It is being filed pursuant to 37 CFR § 1.97(c)(2), before the mailing date of a final action or before a notice of allowance and is accompanied with the fee set forth in §1.17(p). The Office is hereby authorized to charge the fee to Deposit Account No.14-0455.
- It is being filed pursuant to 37 CFR § 1.97(d)(1) and (2), after the mailing date of a final action or after a notice of allowance, but before or simultaneously with the payment of the issue fee, and is accompanied with the below statement and the fee set forth in § 1.17(p). The Office is hereby authorized to charge the fee to Deposit Account No.14-0455.

**The information contained in the Information Disclosure Statement PTO form 1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.**

06/07/2004 TBESHAI 00000040 140455 09888306

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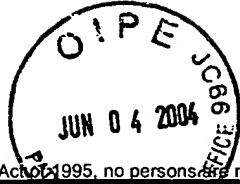
Respectfully submitted,

Charles W. Almer  
Reg. No. 36,731 - Attorney for Applicant  
Tel. 908-707-3738

National Starch and Chemical Company  
10 Finderne Avenue, Bridgewater, New Jersey 08807

#60279

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# FEE TRANSMITTAL

## for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180)

## Complete if Known

Application Number	09/888,306
Filing Date	06/22/2001
First Named Inventor	Marufur Rahim et al.
Examiner Name	WILLS, Monique M.
Art Unit	1746
Attorney Docket No.	1929.EEM

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number **14-0455**

Deposit Account Name **National Starch & Chemica**

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments

Charge any additional fee(s) or any underpayment of fee(s)

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1) (\$)</b>			

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims	Fee from below	Fee Paid
<input type="text"/> -20** = <input type="text"/>	<input type="text"/> X <input type="text"/> = <input type="text"/>	
<input type="text"/> -3** = <input type="text"/>	<input type="text"/> X <input type="text"/> = <input type="text"/>	
Multiple Dependent	<input type="text"/>	= <input type="text"/>

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2) (\$)</b>		

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	180
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** 180

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Charles W. Almer	Registration No. (Attorney/Agent)	36,731	Telephone	908-707-3738
Signature				Date	6/2/04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute	for	Form	PTO-1449A/PTO	Attorney Docket Number: 1929.EEM			Application No.:
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				EXAMINER NAME: WILLS, Monique M.			09/888,306
				First Named Inventor: Marufur Rahim et al.			
				Filing Date: 06/22/2001			Group Art: 1746
<b>U.S. PATENT DOCUMENTS</b>							
*Examiner Initials	Cite No. <sup>1</sup>	Document Number Number – Kind Code <sup>2</sup> (if known)	Pub. Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			Pages
		US- 6,572,790	06/03/03	Rahim			
		US-					
		US-					
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		US-					
<b>FOREIGN PATENT DOCUMENTS</b>							
*Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Pub. Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			Pages
		JP 2001-102010A	04/13/01	Koichi et al.			
EXAMINER SIGNATURE				DATE CONSIDERED			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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AF/1746  
Jew

## TRANSMITTAL FORM

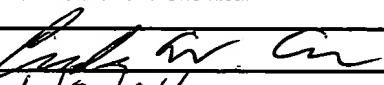
(to be used for all correspondence after initial filing)

TRANSMITTAL FORM  (to be used for all correspondence after initial filing)	Application Number	09/888,306	
	Filing Date	06/22/2001	
	First Named Inventor	Marufur Rahim et al.	
	Art Unit	1746	
	Examiner Name	WILLS, Monique M.	
Total Number of Pages in This Submission	1	Attorney Docket Number	1929.EEM

### ENCLOSURES (Check all that apply)

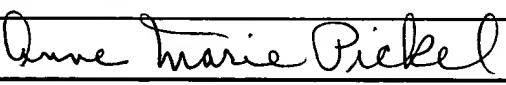
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <div style="border: 1px solid black; padding: 2px; margin-top: 5px;">Remarks</div>	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Charles W. Almer National Starch and Chemical
Signature	
Date	6/2/04

### CERTIFICATE OF TRANSMISSION/MAILING

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Signature	
Date	6/2/04

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